ABOUT THE SEATTLE ETHICS AND ELECTIONS COMMISSION: The Commission is a seven-member, citizen body that interprets, administers and enforces the Seattle Elections Code, Code of Ethics, Election Pamphlet Code and Whistleblower Protection Code. Three members are appointed by the Mayor (confirmed by the City Council), three are appointed by the City Council and the seventh is appointed by the other six and confirmed by the City Council. The members serve three year terms.

The current members are:

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December 22, 1997

Citizens of Seattle

Re: Report Of Contributions and Expenditures In The 1997 City Election

Dear Citizen:

The attached report is published by the Seattle Ethics and Elections Commission, in compliance with the Seattle Municipal Code 2.04.060(B)(9), to give you information about the financing of the 1997 Seattle City campaigns. It was compiled from the campaign finance disclosure reports that the candidates and ballot issue committees were required to file, under the Seattle Elections Code.

The 1997 election involved more City office candidates than ever reported in Seattle. This can be attributed to the open Mayoral race in which three sitting Council incumbents (Chow, Chong and Noland) gave up their seats to run. Thus, there were four open positions on the ballot. In addition, an "extra" Council position appeared on the ballot. In 1996, Councilmember John Manning resigned two years into his four year term. Richard McIver was appointed to serve in that position until the 1997 election results were certified. Thus, Council Position 3 was unexpectedly on the ballot in 1997, though the four year term does not expire until 1999.

The report graphically illustrates the dramatic increase in the cost of Seattle ballot issue and local office campaigns. Over \$2.5 million was raised by the 1997 candidate and ballot issue campaigns, much more than the \$2.2 million raised by such campaigns in 1995. Over \$1.1 million was raised in the 1997 Mayoral and City Attorney races, compared to about \$450,000 in the 1993 election. City Council candidates raised \$839,000 in 1997, compared to \$892,000 in 1995 and \$420,000 in 1993. Ballot Issue fundraising was not as active in 1997, only \$520,000 was raised by the four ballot issue committees, compared to almost \$1.4 million in 1995, the year the Commons issue first appeared on the ballot. Disclosure and contribution limits have not eliminated the influence of money on elections.

Since July 1995, we have distributed reports of the campaign finances of City office candidates and City ballot issue committees, in paper copy and on the Internet at http://www.ci.seattle.wa.us/~seec/ This report will soon appear at that address. Since the 1997 campaigns are not required to file final reports until May 10, 1998, we will issue a 1997 Final Election Report in June 1998.

We hope this report assists you in understanding and participating in City government. If we can provide more information, please call us at 206/684-8500, e-mail us at director.seec@ci.seattle.wa.us, or come into the office at 226 Municipal Building, 600 Fourth Avenue, Seattle, 98104. We welcome your interest.

Sincerely, Carolyn M. Van Noy, Executive Director

Data compiled by: Robert B. DeWeese, Management Systems Analyst, Sr

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I. INTRODUCTION

The information for this report was compiled from reports filed by each candidate and ballot issue committee. It is reported for a period from the beginning of each campaign through the following dates: monetary contributions are reported through November 4, 1997, in-kind contributions are reported through October 28, 1997, and expenditures are reported through November 30, 1997, except where a candidate's reports were not timely. Also, amendments filed after October 28, 1997 are not included.

Throughout this report, the names of ballot issues may be followed by "Passed"/"P" or "Failed"/"F" and candidate names may be followed by an "Incumbent"/"I" and/or "Elected"/"E". All City office elections are non-partisan, so party affiliation is not relevant. The following is a list of 1997 City primary and general election (in bold) ballot issues and candidates:

Mayor

Scott A. Breen
Charlie Chong
Cheryl Chow
Max Englerius

Jim (Davy Jones XLV) Guilfoil

Gordon Herr Stan Lippmann Mike The Mover Greg Nickels Jane Noland Paul Schell (E)

City Attorney

Mark Sidran (E/I)

Council Position 2

Jon Bartholomew
Richard Conlin (E)
Sherry Harris
Rudy McCoy
Brian Peyton

Thomas A. Tobin

Council Position 3

Re'Gena Bell Dian Ferguson Thomas Goldstein

Lenora Jones Fletcher G. Shives **Peter Steinbrueck (E)** DeCharlene Williams **Council Position 4**

Jan Drago (E/I) Bob Hegamin Patrick Kylen Robbie Scherr

Council Position 6

Bruce Bentley Elbert V. Brooks

Fred Buhl

Ken H. Eastlack Nick Licata (E) Aaron Ostrom A. J. Skurdal

Council Position 8

Wilbur Hathaway Falcon Hollister

Kerman A. Kermoade

Richard Lee

Richard McIver (E)

Families & Education Levy (PASSED)

Families Yes (YES)

Transportation Improvement Bonds(FAILED)

Citizens for Seattle Streets (YES)

Monorail Initiative (PASSED)

No on Initiative 41 (NO) The Monorail Initiative (YES)

II. 1997 CITY OFFICE AND BALLOT ISSUE VOTE RETURNS

A. Primary Election Vote Returns, September 16, 1997

(reported from reports compiled by King County Records and Elections)

Mayor		Seattle City Council Position 4		
Scott A. Breen	712	0.6%	Jan Drago	56,632 62.2%
Charlie Chong	23,725	21.3%	Bob Hegamin	14,258 15.7%
Cheryl Chow	13,192	11.8%	Patrick Kylen	11,286 12.4%
Max Englerius	227	0.2%	Robbie Scherr	8,917 9.8%
Jim (Davy Jones XLV) Guilfoil	556	0.5%		
Gordon Herr	575	0.5%	Votes cast for this office	91,093 100.0%
Stan Lippmann	262	0.2%		
Mike The Mover	670	0.6%	Seattle City Council Position	on 6
Greg Nickels	22,642	20.3%		
Jane Noland	17,633	15.8%	Bruce Bentley	10,447 11.9%
Paul Schell	31,396	28.1%	Elbert V. Brooks	5,662 6.5%
			Fred Buhl	9,479 10.8%
Votes cast for this office	111,590	100.0%	Ken H. Eastlack	4,415 5.0%
			Nick Licata	31,674 36.2%
Seattle City Council Posit	ion 2		Aaron Ostrom	21,585 24.7%
			A. J. Skurdal	4,195 4.8%
Jon Bartholomew	5,952	6.5%		
Richard Conlin	27,597	30.1%	Votes cast for this office	87,457 100.0%
Sherry Harris	25,414	27.7%		
Rudy McCoy	5,170	5.6%	Seattle City Council Position	on 8
Brian Peyton	18,048	19.7%		
Thomas A. Tobin	9,435	10.3%	Wilbur Hathaway	4,082 5.0%
			Falcon Hollister	3,700 4.6%
Votes cast for this office	91,616	100.0%	Kerman A. Kermoade	12,240 15.1%
			Richard Lee	9,346 11.5%
Seattle City Council Posit	ion 3		Richard McIver	51,916 63.9%
Re'Gena Bell	4,701	5.0%	Votes cast for this office	81,284 100.0%
Dian Ferguson	9,084	9.7%		
Thomas Goldstein	20,006	21.4%	Prop 1-Families and Education Levy	
Lenora Jones	5,070	5.4%	•	
Fletcher G. Shives	9,058	9.7%	Yes	52,935 60.9%
Peter Steinbrueck	41,273	44.1%	No	34,006 39.1%
DeCharlene Williams	4,409	4.7%		
			Votes cast on ballot issue	86,941 100.0%
Votes cast for this office	93,601	100.0%		

B. General Election Vote Returns, November 4, 1997

Mayor		City Council Position 6
Charlie Chong Paul Schell	81,683 43.4% 106,414 56.6%	Nick Licata 77,723 52.0% Aaron Ostrom 71,857 48.0%
Votes cast for this office	188,097 100.0%	Votes cast for this office 149,580 100.0%
City Attorney		City Council Position 8
Mark Sidran	95,300 100.0%	Kerman A. Kermoade 29,553 21.6% Richard McIver 107,441 78.4%
Votes cast for this office	95,300 100.0%	Votes cast for this office 136,994 100.0%
City Council Position 2		Prop 1 - Monorail
Richard Conlin	102,545 65.9%	Trop I monorum
Sherry Harris	53,135 34.1%	Yes 95,693 52.6% No 86,369 47.4%
Votes cast for this office	155,680 100.0%	
		Votes cast on this ballot issue 182,062 100.0%
City Council Position 3		
		Prop 2 - Transportation Improvements
Thomas Goldstein	42,856 28.7%	
Peter Steinbrueck	106,656 71.3%	Yes 101,763 56.5%
		No (required 60% to pass) 78,465 43.5%
Votes cast for this office	149,512 100.0%	V / 400 000 400 00/
City Council Position 4		Votes cast on this ballot issue 180,228 100.0%
Jan Drago	100,871 67.6%	
Bob Hegamin	48,426 32.4%	
Votes cast for this office	149,297 100.0%	

III. 1997 CITY OFFICE AND BALLOT ISSUE CAMPAIGN CONTRIBUTIONS

A. Total Contributions

The contributions listed in this report include not only the itemized contributions, but also unitemized contributions under \$25, anonymous contributions (up to \$300 may be accepted), loans and the candidates' personal funds. Table 1 below lists the total amount of contributions received by each candidate committee.

Mayor	
Scott A. Breen	\$354
Charlie Chong	\$171,062
Cheryl Chow	\$136,703
Max Englerius	\$18
Jim (Davy Jones XLV) Guilfoil	\$1,190
Gordon Herr	\$11,725
Stan Lippmann	\$0
Mike The Mover	\$14,716
Greg Nickels	\$133,428
Jane Noland	\$228,050
Paul Schell	\$384,626
All Committees	\$1,081,872

City Attorney	
Mark Sidran	\$77,028
All Committees	\$77,028

Council Position 2	
	Φο οοο
Jon Bartholomew	\$3,839
Richard Conlin	\$103,091
Sherry Harris	\$51,252
Rudy McCoy	\$1,255
Brian Peyton	\$31,596
Thomas A. Tobin	\$2,645
All Committees	\$193,678

Council Position 3	
Re'Gena Bell	\$4,275
Dian Ferguson	\$17,523
Thomas Goldstein	\$96,218
(continued)	

Council Position 3 (conf	t'd)
Lenora Jones	\$2,768
Fletcher G. Shives	\$16,045
Peter Steinbrueck	\$45,739
DeCharlene Williams	\$2,297
All Committees	\$184,865

Council Position 4	
Jan Drago	\$104,569
Bob Hegamin	\$4,151
Patrick Kylen	\$6,814
Robbie Scherr	\$354
All Committees	\$115,888

С	ouncil Position 6	
	Bruce Bentley	\$9,850
	Elbert V. Brooks	\$0
	Fred Buhl	\$3,263
	Ken H. Eastlack	\$1,555
	Nick Licata	\$79,242
	Aaron Ostrom	\$111,709
	A. J. Skurdal	\$5,955
	A II . 6	***
	All Committees	\$211,574

\$812
\$1,313
\$38,113
\$0
\$92,561
\$132,799

Table 1

Table 2 shows total contributions to ballot issues.

Families & Education Levy (passed)		
Families Yes (YES)	\$250,082	
All Committees	\$250,082	

Monorail Initiative (passe	ed)
No on Initiative 41 (NO) The Monorail Initiative (YES)	\$7,635 \$5,491
All Committees	\$13,126

Transportation Improvement Bonds (failed)	
Citizens for Seattle Streets (YES)	\$257,874
All Committees	\$257,874

Table 2

All Campaigns	
All Executive Campaigns All Legislative Campaigns All Ballot Issue Campaigns	\$1,158,903 \$838,816 \$521,082
All Committees	\$2,518,801

Table 3

Table 4 shows total contributions to committees for which the candidate never appeared on the ballot for that office. Please note that these contribution numbers are not included anywhere else in this report. Also note that the numbers shown in Table 3 are gross contributions to that committee. In many cases some of these contributions were transferred to committees for another office. Such transfers are not reflected here.

Mayor	
Martha C. Choe	\$5,615
Norman B. Rice	\$65,505
Peter Steinbrueck	\$23,835
Tom Tierney	\$4,950
All Committees	\$99,905

Council	
Cheryl Chov	v \$28,183
Charlie Chong	
Tom Week	s \$4,034
Jesse Wineberr	y \$19,040
All Committees	s \$73,732

Table 4

Figure 1 below graphs the total contributions information in Table 1. Figure 2 graphs the total contributions received by ballot issue committees and Figure 3 graphs the total contributions

received by candidates. The absence of contribution limits on ballot issue committees may account for the ballot issue committees collecting 50% more than the candidate committees (candidate committees raised just under \$, while the ballot issue committees raised more than \$1,350,000).

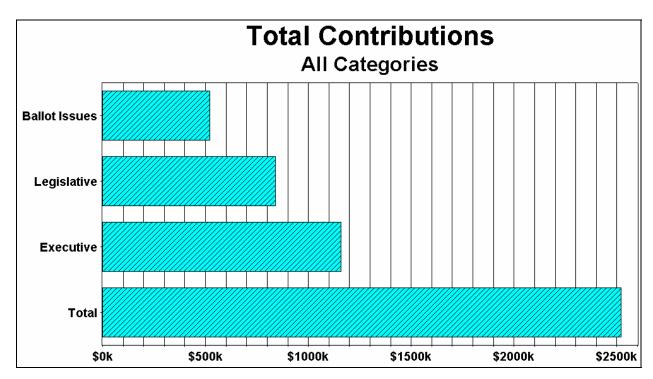


Figure 1

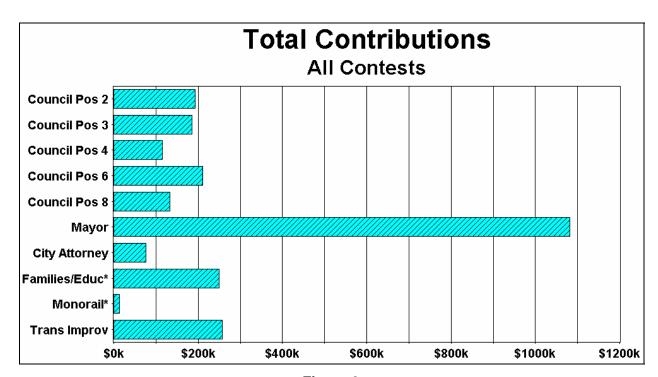


Figure 2

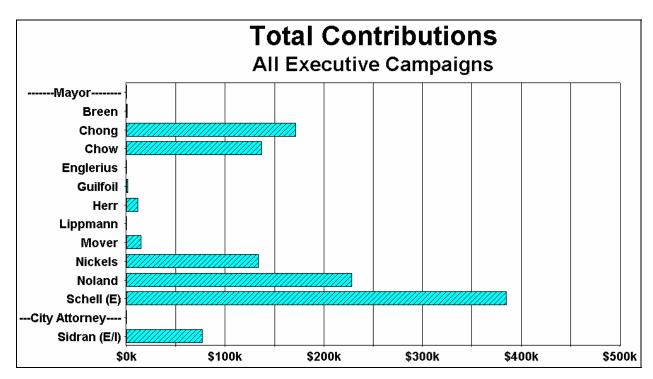


Figure 3

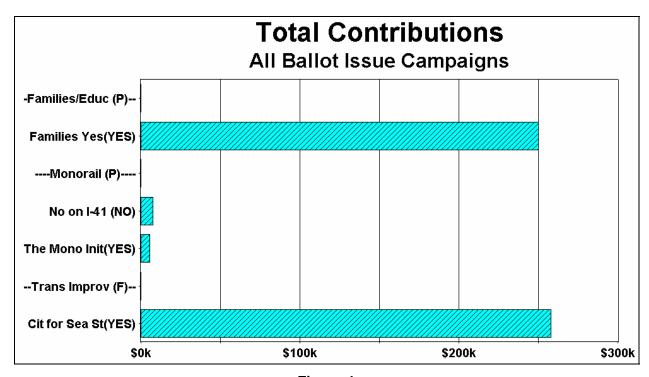


Figure 4

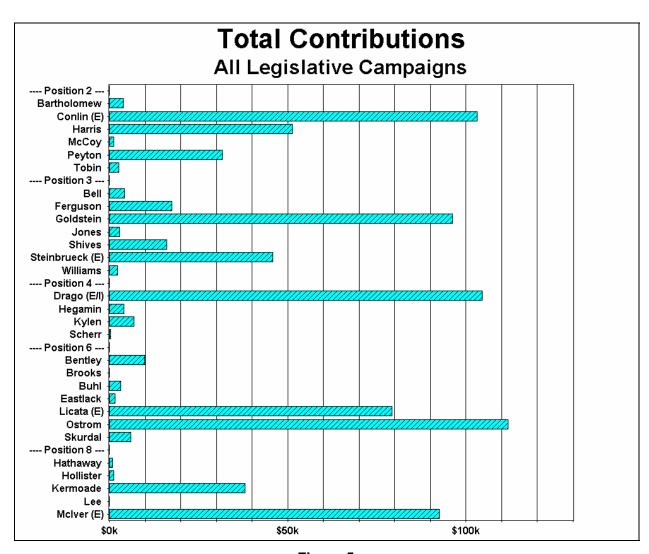


Figure 5

B. Size Of Contributions

The following pie charts graphically report the size of in-kind and monetary contributions received. These graphs include candidate contributions to their own campaigns, transfers from a previous campaign, anonymous contributions, loans and miscellaneous receipts such as receipts from a low-cost fundraiser and interest from a bank account. All of these categories are broken out separately on the graph. Loans from a candidate to their own committee are categorized as candidate contributions until repaid. **Figure 4** reports the percentage of receipts in amounts of \$25 or less, between \$25.01 and \$99.99, between \$100.00 and \$399.99 and \$400 or more. **Figure 4** is influenced by the predominance of contributions over \$100 to the ballot issue committees, as reported in **Figure 5** (see also **Figures 7 to 12**). **Figure 6** reports that approximately 75% of the contributions to candidates were over \$100, whereas 95% of the contributions to ballot issue committees were over \$100. **Figures 13 to 25** support that conclusion.

Please note that these graphs show the proportion of each campaign's dollar receipts from each type of source, not the proportion of the number of contributors in each type of source.

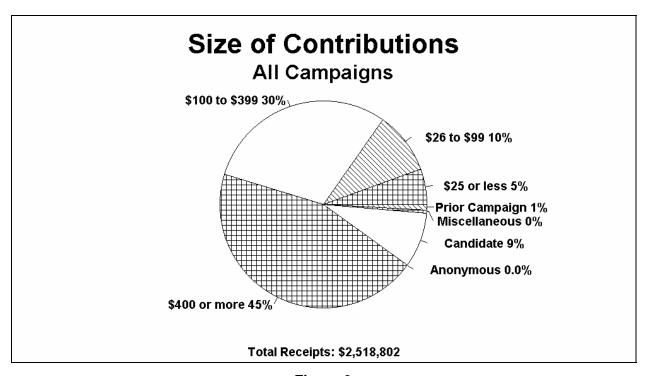


Figure 6

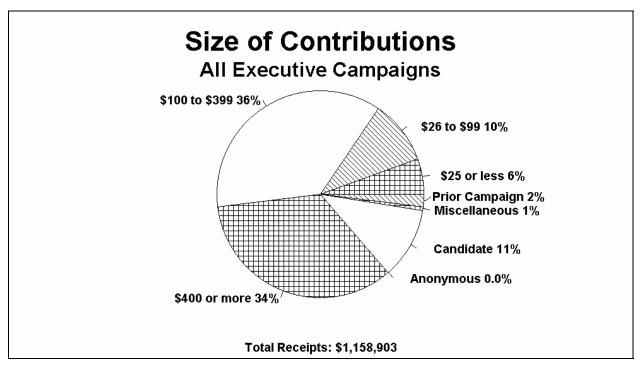


Figure 7

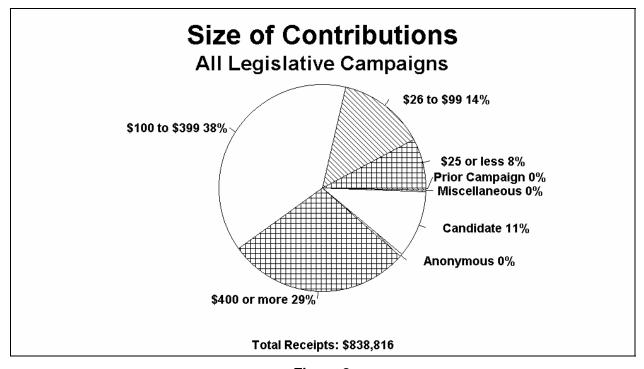


Figure 8

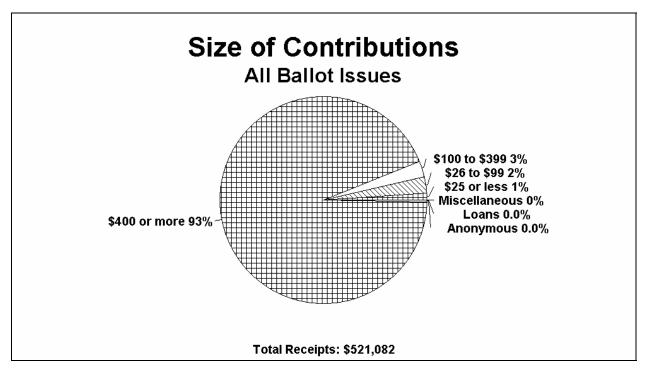


Figure 9

Candidates for Mayor

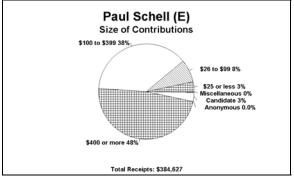


Figure 10

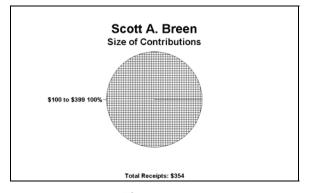


Figure 12

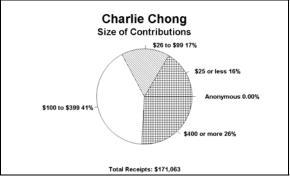


Figure 11

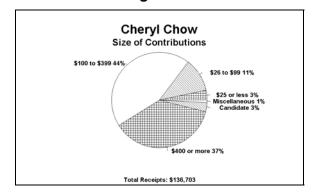


Figure 13

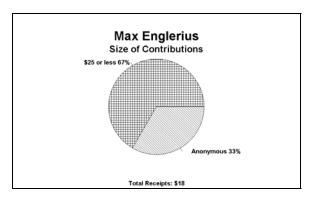


Figure 14

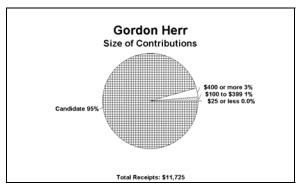


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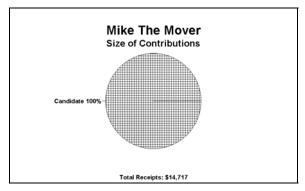


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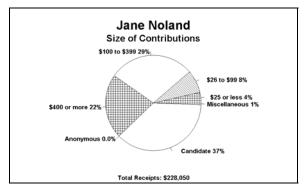


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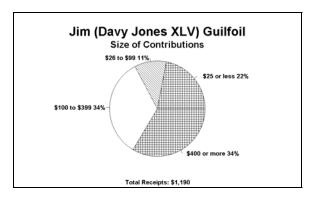


Figure 15

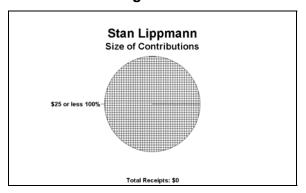


Figure 17

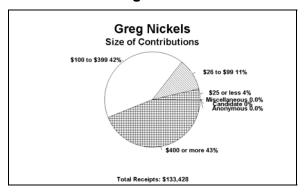
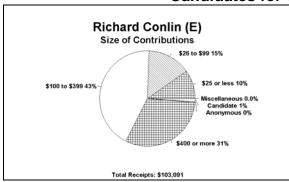


Figure 19



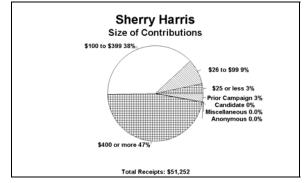
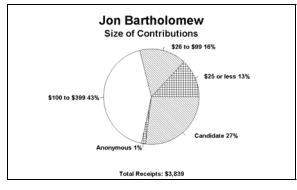


Figure 21

Figure 22



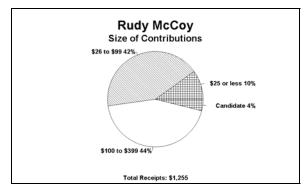
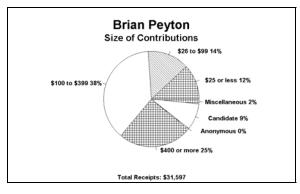


Figure 23

Figure 24



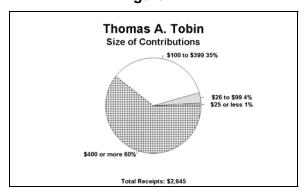
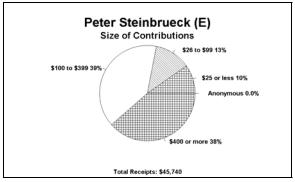


Figure 25

Figure 26

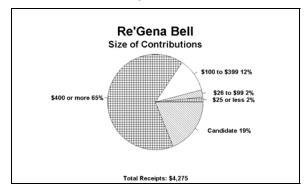


Thomas Goldstein
Size of Contributions
\$100 to \$399 39%
\$25 to \$99 15%
\$25 or less 5%
Miscellaneous 1%
Candidate 11%
Anonymous 0%

Total Receipts: \$96,219

Figure 27

Figure 28



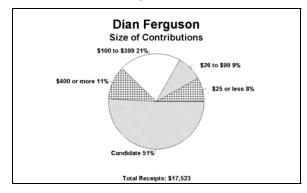
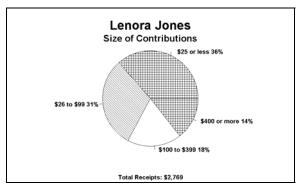


Figure 29

Figure 30



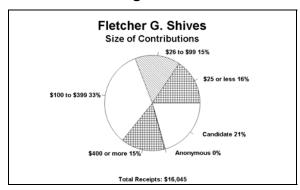


Figure 31

Figure 32

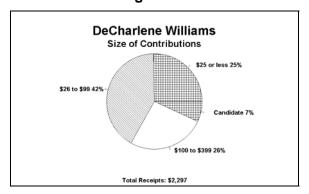
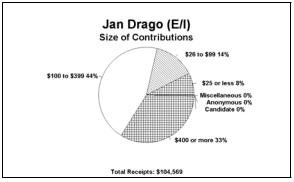


Figure 33



Bob Hegamin
Size of Contributions
\$100 to \$399 12%
\$26 to \$99 8%
\$25 or less 2%

Candidate 30%

Figure 34

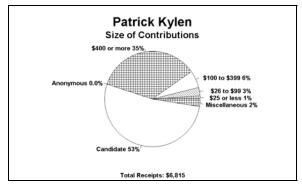


Figure 35

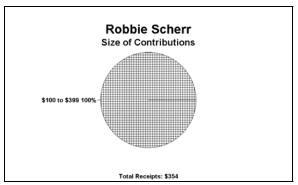
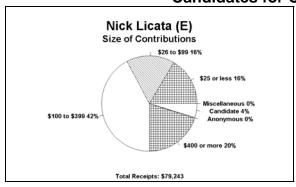


Figure 37

Figure 36

Candidates for City Council Position 6



Aaron Ostrom
Size of Contributions

\$26 to \$99 17%

\$100 to \$399 43%

\$25 or less 4%
Miscellaneous 1%
Candidate 5%
Anonymous 0%

\$400 or more 29%

Total Receipts: \$111,709

Figure 38

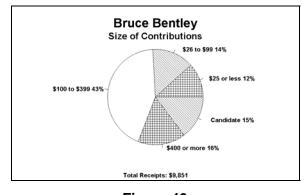


Figure 39

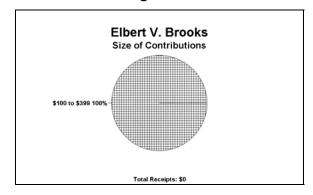


Figure 40

Figure 41

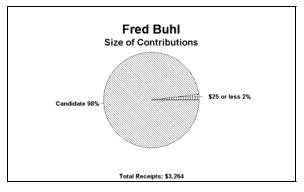


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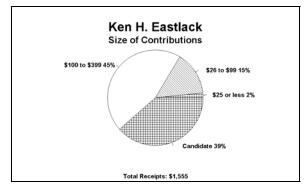


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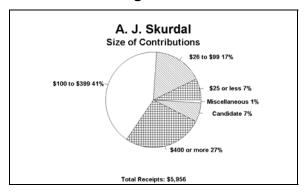


Figure 44

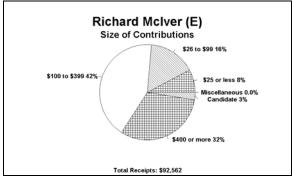


Figure 45

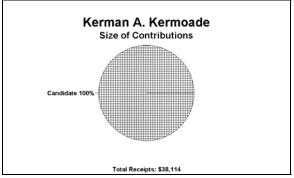


Figure 46

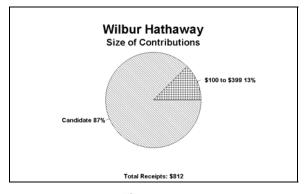


Figure 47

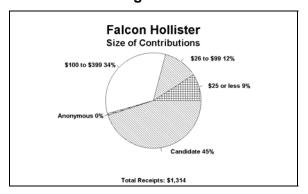


Figure 48

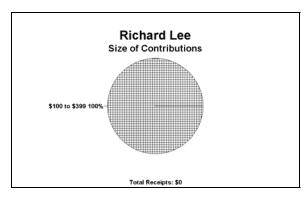
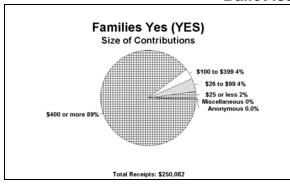


Figure 49

Ballot Issue Committees



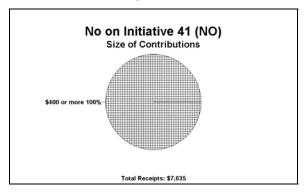
\$100 to \$399 9%
\$26 to \$99 9%
\$25 or less 7%
Anonymous 0%

Total Receipts: \$5,491

The Monorail Initiative (YES)

Figure 50

Figure 51



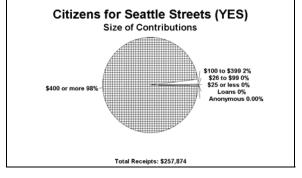


Figure 52

Figure 53

C. Area Of Contributors

The following pie charts report the areas that the contributors reported were the locations of their home or business addresses. The areas inside the City limits include Capitol Hill/Madrona, Queen Anne/Magnolia, Downtown, Greenlake/University District, West Seattle, Ballard/NW Seattle and Mt. Baker/Rainier Valley. The report also includes contributions from Outside of the City and "Area Unknown." Receipts from the following sources are broken out in to their own categories: candidate contributions or loans to his or her own campaign, loans to ballot issues, miscellaneous receipts such as bank interest or receipts from a low cost fundraiser, transfers from a previous campaign, and anonymous contributions.

Figure 49 reports that the plurality of contributions for all campaigns were from Downtown. This, too, was influenced by the ballot issue committees. **Figure 50** reports that the ballot issue c:\documents and settings\deweesb\desktop\97report.doc rev. 12/97

committees received nearly 60% of their contributions from outside of the City and another 25% from Downtown, while **Figure 51** reports that the candidate committees received only 10.7% of their contributions from Downtown, nearly 19% from outside of the City, and 17% from Capitol Hill/Queen Anne/Magnolia. For the most part, the individual campaign pie charts in **Figures 52 to 71** confirm that conclusion.

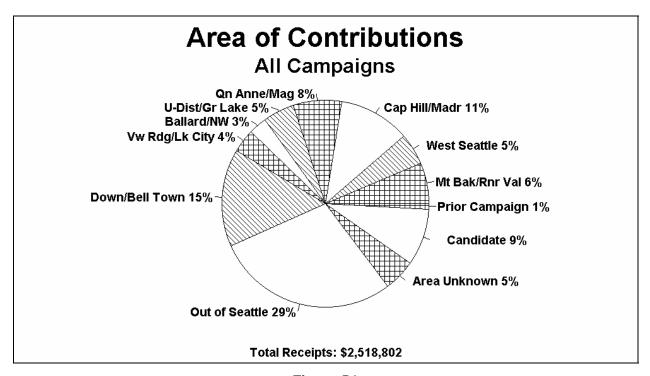


Figure 54

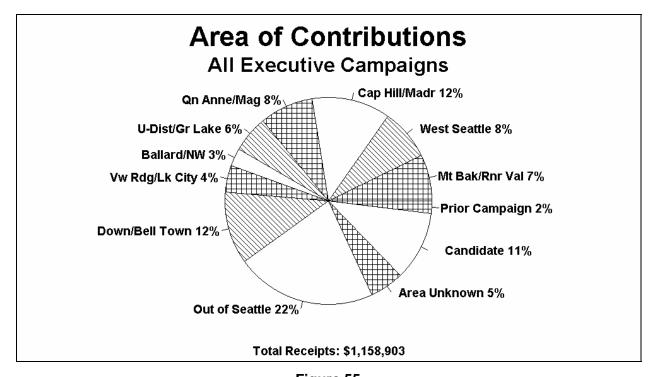


Figure 55

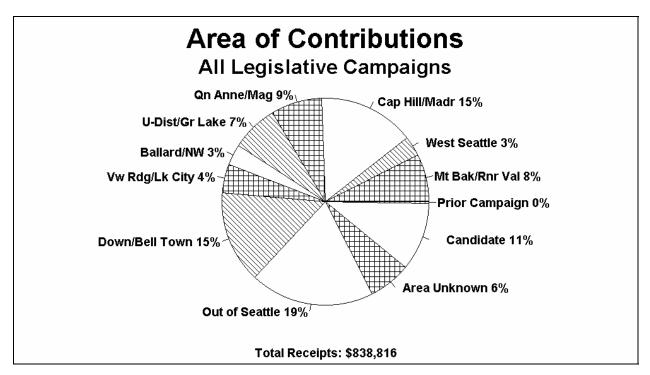


Figure 56

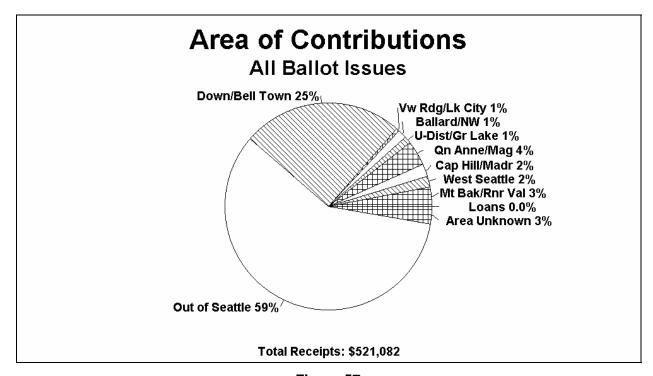


Figure 57

Candidates for Mayor

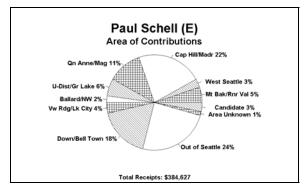


Figure 58

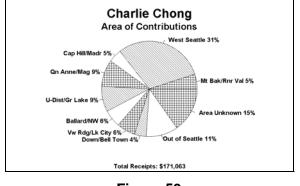


Figure 59

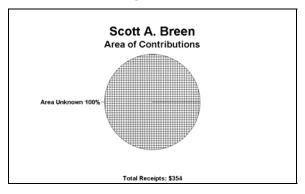


Figure 60

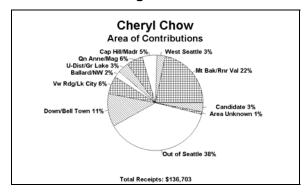


Figure 61

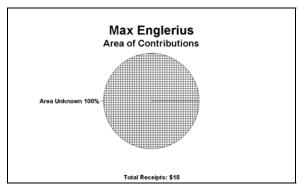


Figure 62

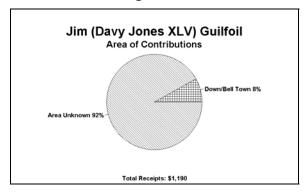


Figure 63

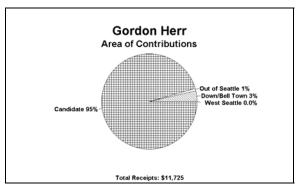


Figure 64

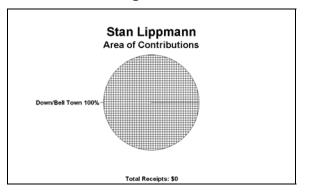


Figure 65

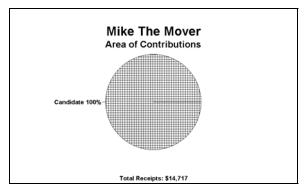


Figure 66

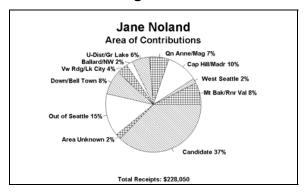


Figure 68

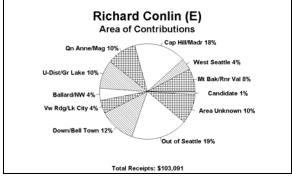


Figure 69

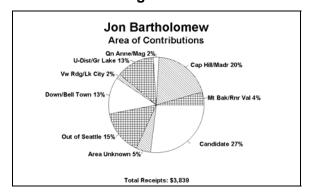


Figure 71

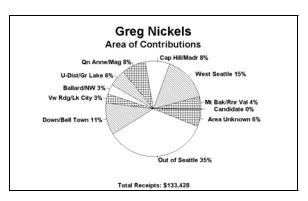


Figure 67

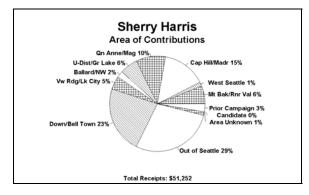


Figure 70

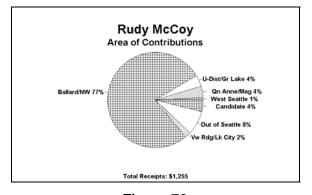


Figure 72

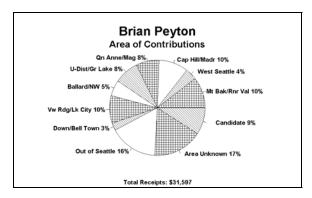


Figure 73

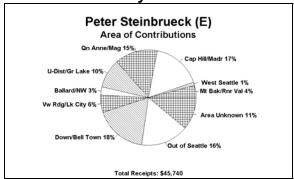


Figure 75

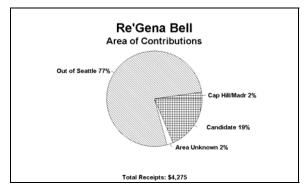


Figure 77

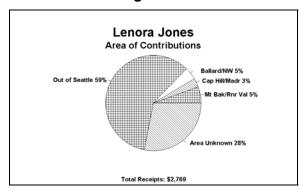


Figure 79

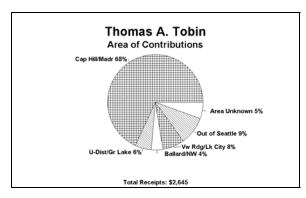


Figure 74

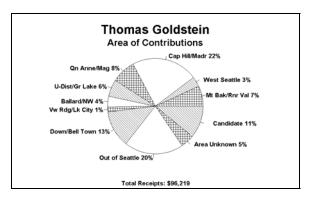


Figure 76

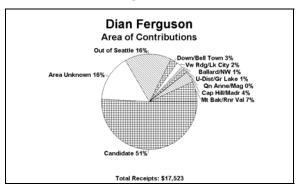


Figure 78

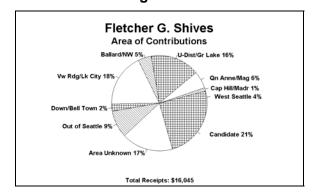


Figure 80

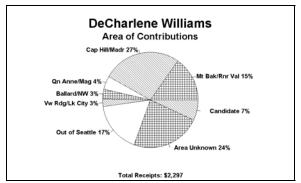
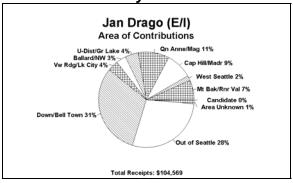


Figure 81



Bob Hegamin
Area of Contributions

Cap Hill/Madr 11%
Qn Anne/Mag 2%
Ballard/Mv 2%
Vw Rdg/Lk City 5%

Out of Seattle 25%

Area Unknown 1%

Candidate 30%

Total Receipts: \$4,151

Figure 82

Patrick Kylen
Area of Contributions

U-Dist/Gr Lake 1%
Down/Bell Town 6%
Out of Seattle 1%
Area Unknown 10%

Candidate 53%

Total Receipts: \$6,815

Figure 83

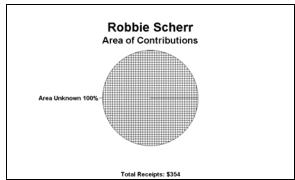


Figure 84



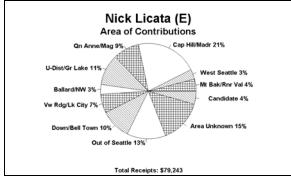


Figure 85

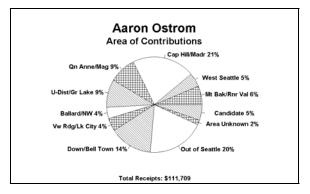


Figure 86

Figure 87

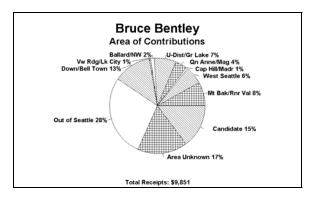


Figure 88

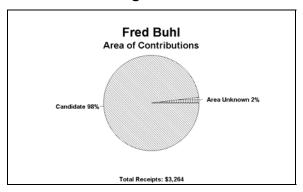


Figure 90

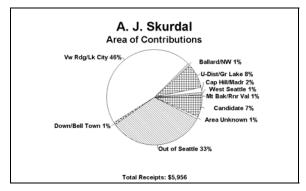


Figure 92

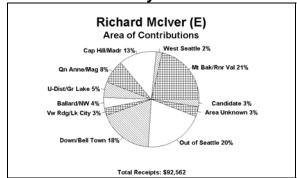


Figure 93

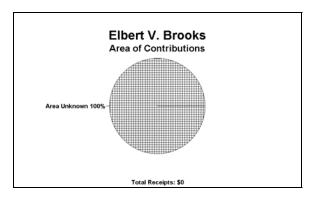


Figure 89

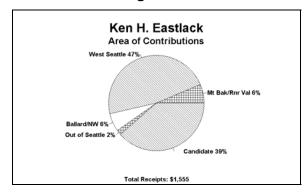


Figure 91

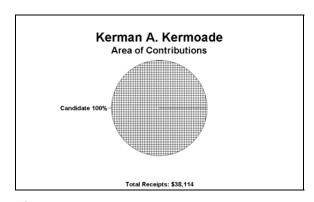


Figure 94

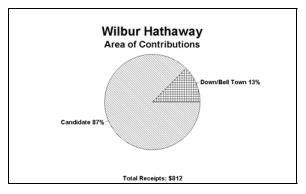


Figure 95

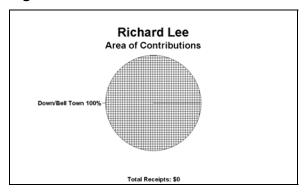


Figure 97

Ballot Issue Committees

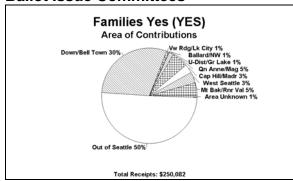


Figure 98

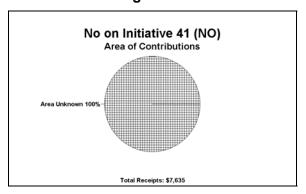


Figure 100

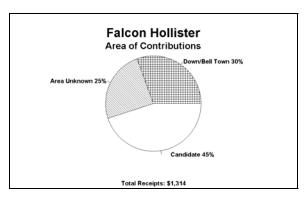


Figure 96

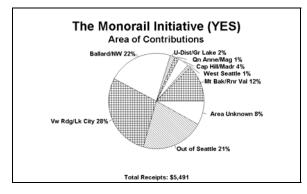


Figure 99

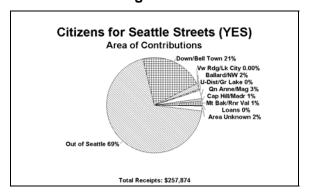


Figure 101

IV. 1997 CITY OFFICE AND BALLOT ISSUE CAMPAIGN EXPENDITURES

A. Total Expenditures

Table 2, below, reports the total expenditures for all 1995 City campaigns. **Figure 72** graphs the Table 2 information. **Figures 73 and 74** report that one ballot issue committee and one council candidate committee expended more than all of the other committees in their respective categories.

Mayor	
Scott A. Breen	\$354
Charlie Chong	\$127,703
Cheryl Chow	\$137,792
Max Englerius	\$0
Jim (Davy Jones XLV) Guilfoil	\$0
Gordon Herr	\$7,228
Stan Lippmann	\$0
Mike The Mover	\$7,933
Greg Nickels	\$137,403
Jane Noland	\$209,371
Paul Schell	\$357,745
All Committees	\$985,529

City Attorney		
	Mark Sidran	\$32,708
	All Committees	\$32,708

Council Position 2	
Jon Bartholomew	\$3,817
Richard Conlin	\$100,950
Sherry Harris	\$47,118
Rudy McCoy	\$951
Brian Peyton	\$30,174
Thomas A. Tobin	\$2,498
All Committees	\$185,507

Council Position 3	
Re'Gena Bell	\$3,870
Dian Ferguson	\$15,111
Thomas Goldstein	\$76,655

Council Position 3 (cont'd)	
Lenora Jones	\$2,400
Fletcher G. Shives	\$2,400 \$14,911
Peter Steinbrueck	\$47,542
DeCharlene Williams	\$2,458
All Committees	\$162,946

Council Position 4	
Jan Drago	\$91,539
Bob Hegamin	\$3,471
Patrick Kylen	\$6,986
Robbie Scherr	\$354
All Committees	\$102,350

Council Position 6	
Bruce Bentley	\$9,164
Elbert V. Brooks	\$0
Fred Buhl	\$0
Ken H. Eastlack	\$708
Nick Licata	\$66,220
Aaron Ostrom	\$109,021
A. J. Skurdal	\$8,958
All Committees	\$194,071

Council Position 8	
Wilbur Hathaway	\$812
Falcon Hollister	\$1,304
Kerman A. Kermoade	\$38,114
Richard Lee	\$0
Richard McIver	\$68,792

(continued) All Committees \$109,02

Table 5

Ballot Issue Committees

Families & Education Levy (passed)	
Families Yes (YES)	\$251,945
All Committees	\$251,945

Monorail Initiative (passed)		
No on Initiative 41 (NO) The Monorail Initiative (YES)	\$7,635 \$4,436	
All Committees	\$12,071	

Transportation Improvement Bonds (failed)	
Citizens for Seattle Streets (YES)	\$260,096
All Committees	\$260,096

Table 6

Candidates who did not appear on the ballot for the office for which the committee was established.

Mayor	
	^
Martha C. Choe	\$5,399
Norman B. Rice	\$66,649
Peter Steinbrueck	\$19,028
Tom Tierney	\$2,500
All Committees	\$93,576

Council	
Cheryl Chow	\$12,000
Charlie Chong	\$23,591
Tom Weeks	\$4,575
Jesse Wineberry	\$15,867
All Committees	\$56,032

Table 7

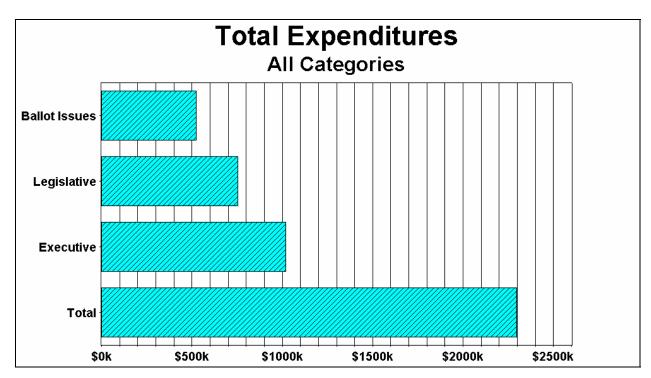


Figure 102

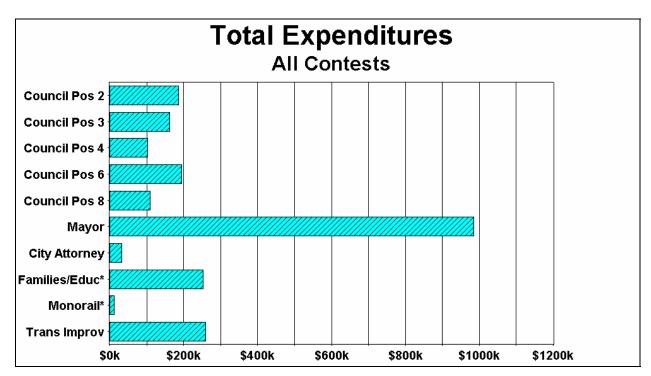


Figure 103

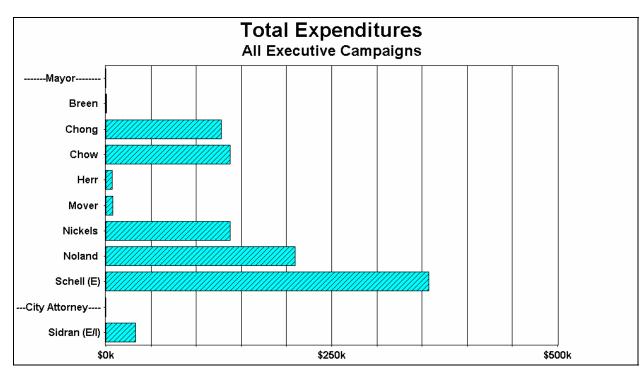


Figure 104

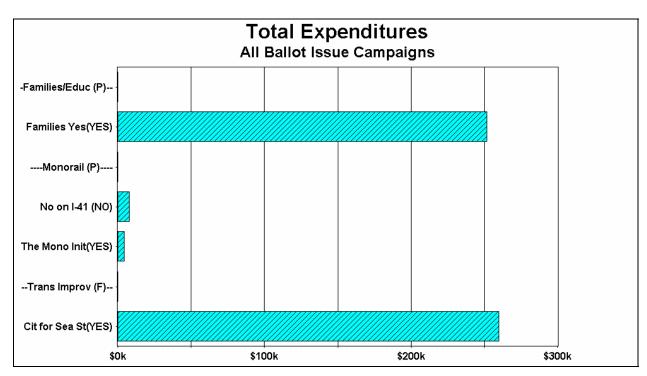


Figure 105

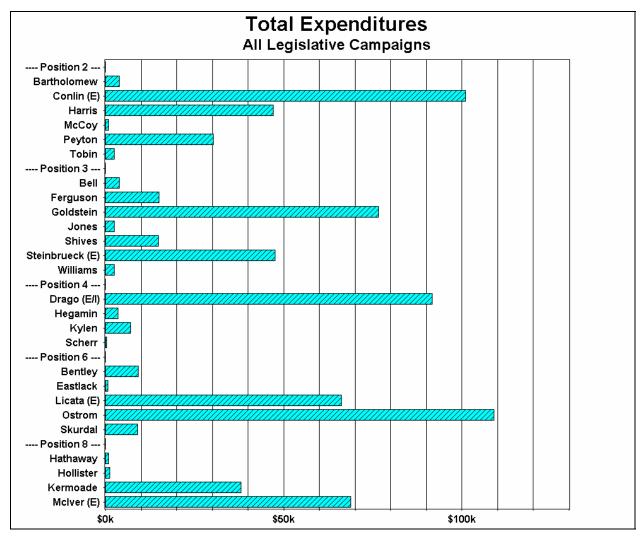


Figure 106

B. Types Of Expenditures

Each campaign itemized all expenditures over \$50 and provided a code for each that identified its purpose. The following is an explanation of each code used to identify the purpose of each expenditure, i.e., the type of expenditure:

- Fundraising: (F) Fundraising
- ◆ Literature/Mail: (L) Literature, includes fundraising mailings
 - (P) Postage, includes fundraising mailings
- Miscellaneous: (C) Monetary, In-Kind and Earmarked Contributions
 - (I) Independent Expenditures
 - (V) Voter Signature Gathering
- Operations: (S) Surveys & Polls
 - (T) Travel, Accommodations, Meals
 - (G) General Operation and Overhead
- Staff & Consultants: (M) Management and Consulting Services
 - (W) Wages, Salaries and Benefits
- TV/Radio Advertising (B) Broadcast Advertising

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- Newspaper/Other Advertising (N) Newspaper & Periodical Advertising
- (O) Other Advertising

The report also utilizes a category titled "In-Kind/Uncoded." This category consists of expenditures that the campaigns are not required to itemize and/or code, i.e., contributions under \$50 and in-kind contributions (which are listed as contributions and expenditures, since they would have been expended from campaign funds if they had not been given as in-kind contributions). Also included here are expenditures where the campaign has failed to report a required code.

Figure 75 reports the expenditures of all campaigns by the categories listed above. While it reports that most expenditures were for Literature/Mail in all campaigns, **Figures 76 and 77** indicate that the ballot issue committees spent a greater percentage of their funds for TV/Radio/Newspapers than did candidate committees. **Figures 78 to 96** confirm that conclusion.

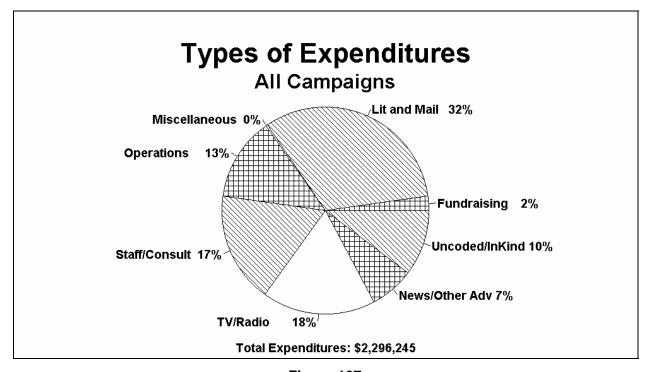


Figure 107

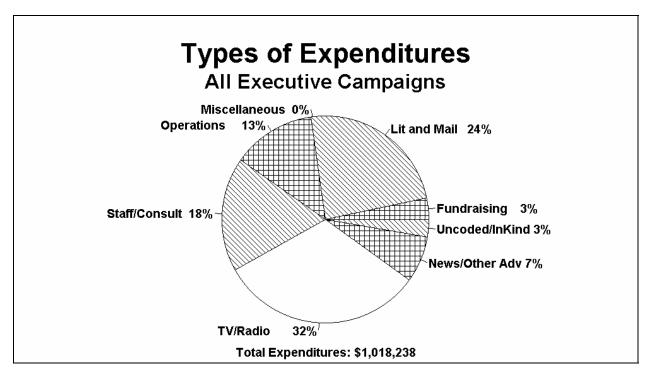


Figure 108

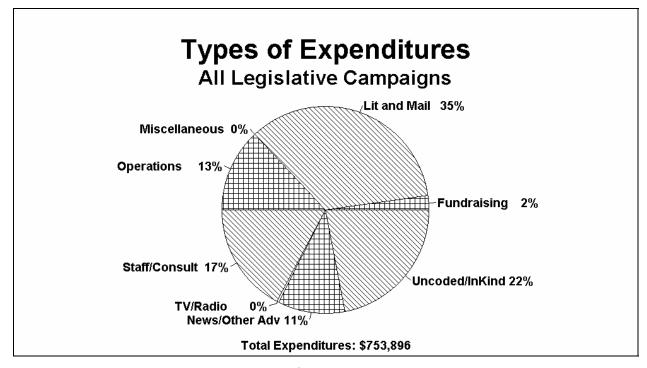


Figure 109

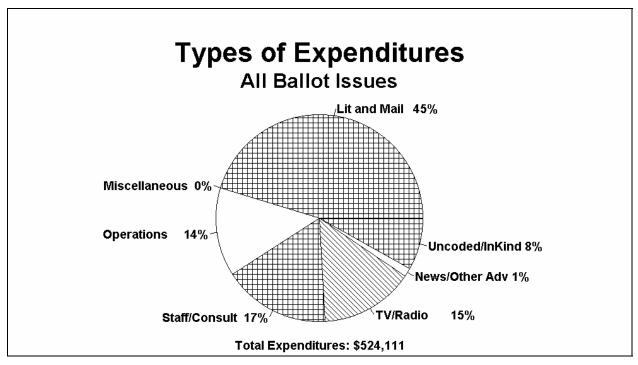


Figure 110

Candidates for Mayor

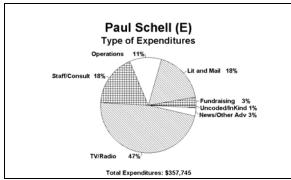


Figure 111

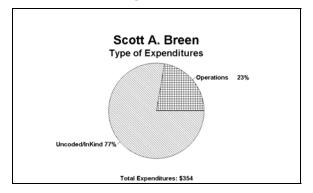


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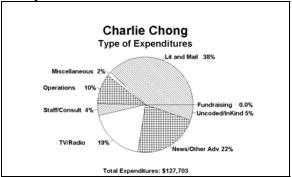


Figure 112

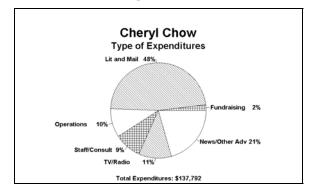


Figure 114

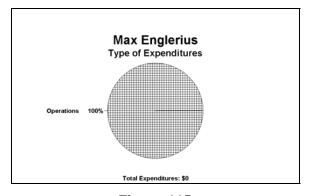


Figure 115

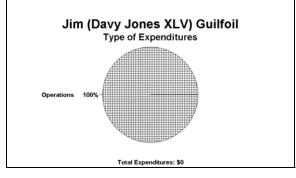


Figure 116

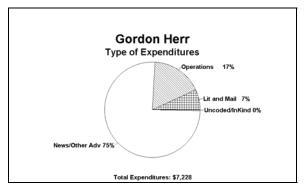


Figure 117

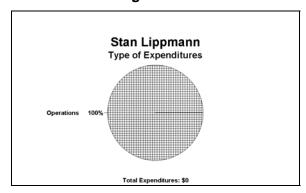


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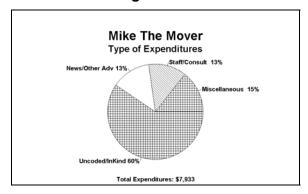


Figure 119

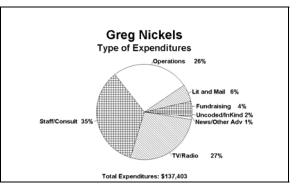


Figure 120

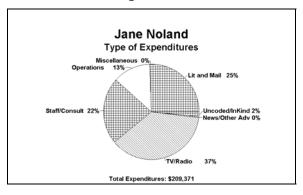
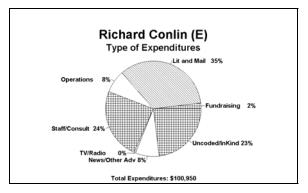


Figure 121

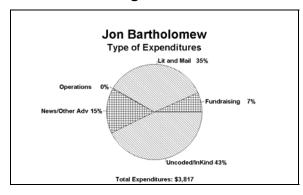
Candidates for City Council Position 2



Sherry Harris
Type of Expenditures
Lit and Mail 46%
Fundraising 1%
Uncoded/InKind 7%
News/Other Adv 9%
Staff/Consult 13%
Total Expenditures: \$47,118

Figure 122

Figure 123



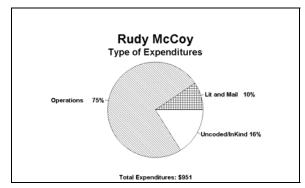
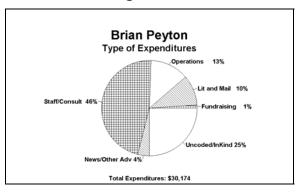


Figure 124

Figure 125



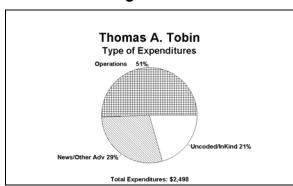
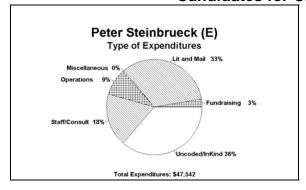


Figure 126

Figure 127





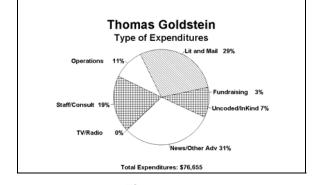


Figure 128

Figure 129

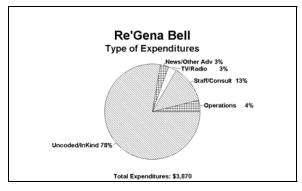


Figure 130

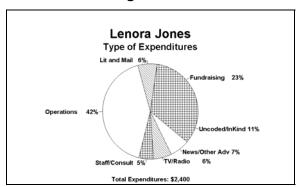


Figure 132

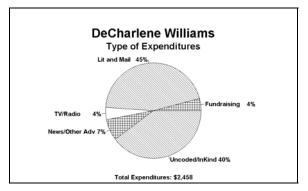


Figure 134

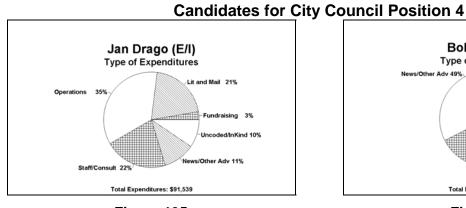


Figure 135

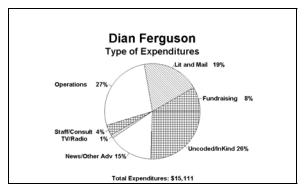


Figure 131

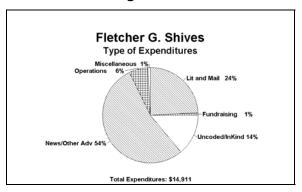


Figure 133

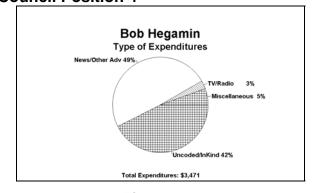
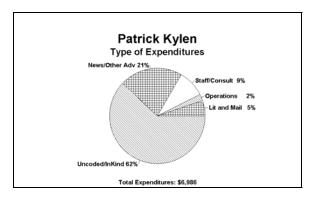


Figure 136



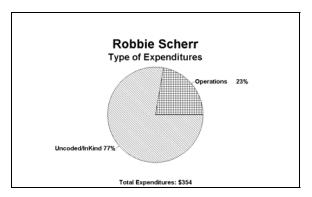
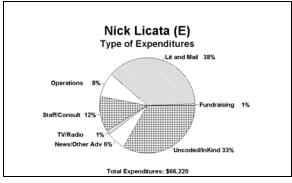


Figure 137

Figure 138

Candidates for City Council Position 6



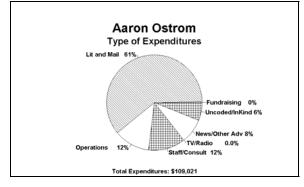
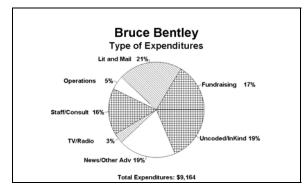


Figure 139

Figure 140



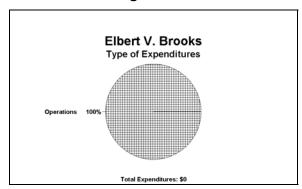
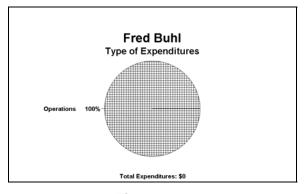


Figure 141

Figure 142



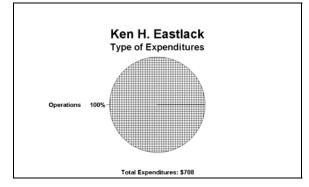


Figure 143

Figure 144

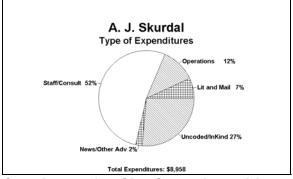
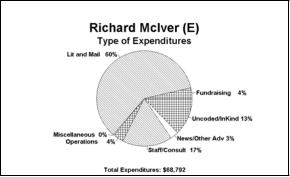


Figure 145

Candidates for City Council Position 8



Kerman A. Kermoade
Type of Expenditures

Uncoded/InKind 100%

Total Expenditures: \$38,114

Figure 146

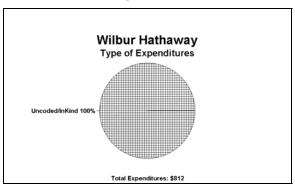


Figure 147

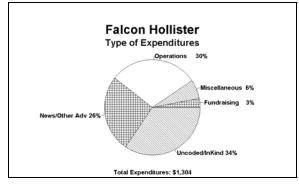


Figure 148

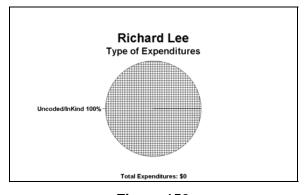


Figure 149

Figure 150

Ballot Issue Committees

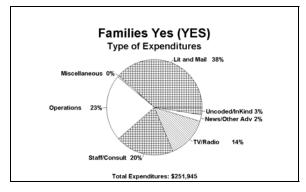


Figure 151

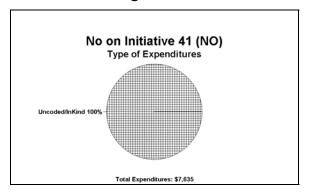


Figure 153

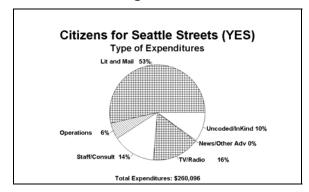


Figure 154

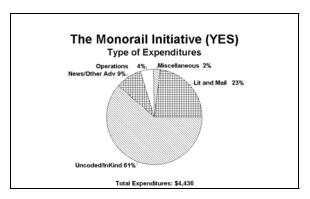


Figure 152

V. YEAR TO YEAR TRENDS

A. Total Contributions Received, Averaged By Council Position

Figure 97 reports that the 1997 candidates raised ______than the 1995 candidates and the 1995 Council candidates raised nearly twice as much as the 1993 Council candidates. In 1993, the total contributions to the candidates running for all four Council positions was \$415,480 (\$103,870 per position). In 1995, the candidates for the five Council positions raised \$896,125 (\$179,225 per position). In 1993 and in 1995, the positions included one open seat.

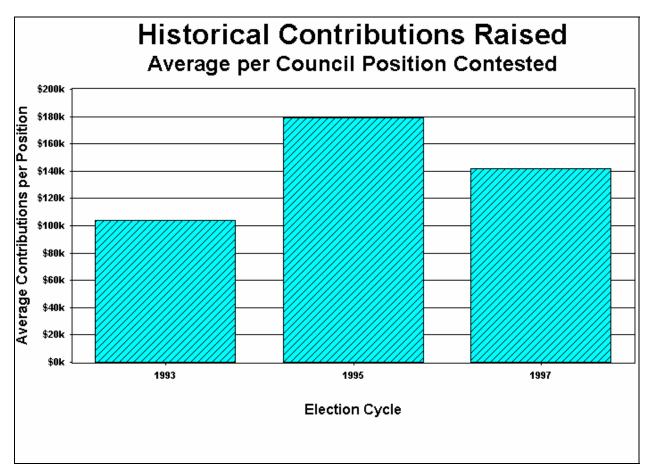


Figure 155

B. Average Contribution To Council Campaigns

The Seattle Elections Code imposes a \$400 limit on contributions to each candidate. Seattle no longer has expenditure limits, however. The United States Supreme Court has ruled that expenditure limits may not be imposed on candidates without giving them something in return. See Buckley v Valeo, 424 U.S. 1, 96 SCt 612, 46 L.Ed.2d 659 (1976). Prior to 1992, the Seattle Elections Code required expenditure limits of those City office candidates who accepted partial public financing. In November 1992, Washington voters

passed Initiative 134, which prohibits the use of public funds for state or local elections. As a result, Seattle lost the authority to offer partial public financing of campaigns and with it the authority to impose expenditure limits.

The 1975-1987 information on Table 3, below, was compiled by the former Seattle Elections Administrator, Allen Miller. That segment of the Table lists the average contribution to "highly contested City Council races." Unfortunately, we do not have data from 1989 or 1991. The data we have added for 1993 through 1997 reports the average contribution to <u>all</u> City office campaigns in those years. Despite the difference in scope, the trend is clear. During the years when Seattle had public financing: 1979, 1981 and 1987, the average contribution was lower than the previous and following years in which public financing was not in place.

Please note that averages for 1993 and earlier were calculated without using the number of contributors of \$25 or less in the equation. This will make these numbers shown higher than if a true 'apples to apples' comparison were possible.

Also note that the 1993 average is revised from the SEEC's 1995 Election report. The number shown in the 1995 report was not calculated properly.

AVERAGE CONTRIBUTIONTo Legislative Candidates

(public financing was in place during the bolded years)

1975	\$41
1977	\$63
1979	\$29
1981	\$38
1983	\$67
1985	\$83
1987	\$48
1989	(not available)
1991	(not available)
1993	\$84
1995	\$85
1996	\$
1997	\$94

Table 8

AVERAGE CONTRIBUTION To Executive Candidates

1993	\$118
1997	\$106

Table 9

AVERAGE CONTRIBUTION To Ballot Issue Committees

1995	\$452	
1996		
1997	\$586	

Table 10

Table 4 lists the average contributions to ballot issue committees. In 1995, we calculated the average contribution to City ballot issue committees. The six City ballot issue committees received a total of \$1,357,567.74 in contributions from 2,929 contributors (contributors are counted more than once if they gave to more than one campaign), resulting in the average contribution of \$452.31 to ballot issue committees. In 1996 ______ contributors gave \$______ to the _____ ballot issue committees, resulting in the average contribution of \$____. In 1997, ______ contributors gave \$______ to the three ballot issue committees, resulting in the average contribution of \$____.

Figure 98 reports the average contributions listed in Tables 3 and 4.

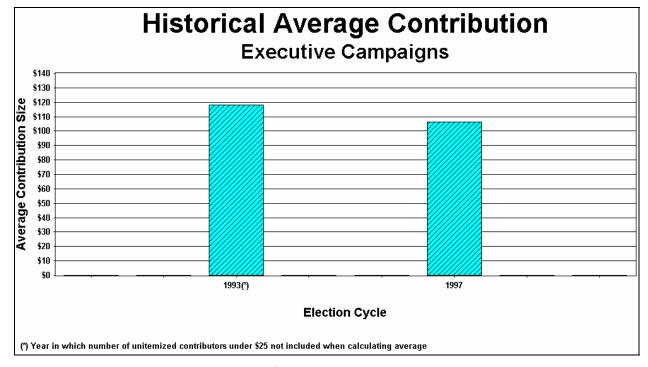


Figure 156

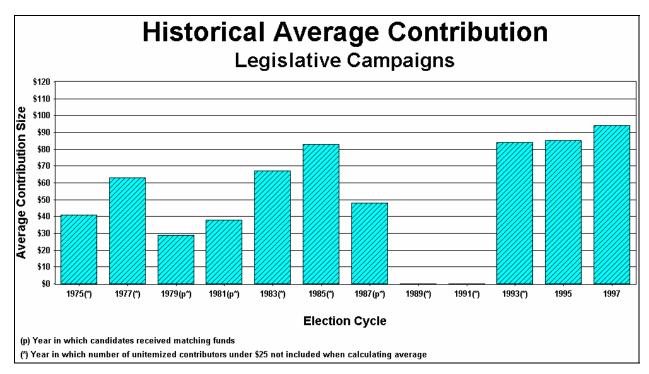


Figure 157

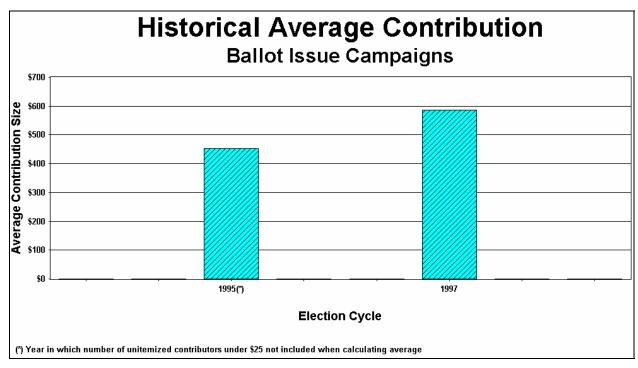


Figure 158

VI. LISTS

Top 20 Contributors to all Candidates

The following is a list of the top 20 contributors to all Candidates appearing on the 1997 ballot. The reported employers of individual contributors are also shown. Where the various campaigns have reported different employers then all reported employers are listed.

Laborers & Hodcarriers Local 242	
Greg Nickels	\$400
Nick Licata (E)	\$400
Peter Steinbrueck (E)	\$400
Aaron Ostrom	\$400
Jan Drago (E/I)	\$400
Richard McIver (E)	\$400
Richard Conlin (E)	\$400
Paul Schell (E)	\$400
Total	\$3,200

Seattle Firefighters Local 27 PA	С
Nick Licata (E)	\$400
Brian Peyton	\$400
Jane Noland	\$400
Richard McIver (E)	\$400
Patrick Kylen	\$400
Peter Steinbrueck (E)	\$400
Richard Conlin (E)	\$400
Paul Schell (E)	\$400
Total	\$3,200

IBEW 77 PAC	
Greg Nickels	\$400
Cheryl Chow	\$400
Jan Drago (E/I)	\$400
Bob Hegamin	\$400
Sherry Harris	\$400
Thomas Goldstein	\$400
Richard McIver (E)	\$400
Paul Schell (E)	\$400
Total	\$3,200

Northwest Waste Industries, Inc.	1
Jan Drago (E/I)	\$350
Jane Noland	\$400
Greg Nickels	\$400
Brian Peyton	\$200
Richard McIver (E)	\$200
Paul Schell (E)	\$400
Nick Licata (E)	\$400
Sherry Harris	\$400
Richard Conlin (E)	\$400
Total	\$3,150

Judith M. Runstad	
Foster, Pepper & Shefelman / Wright Runstad	
Jan Drago (E/I)	\$375
Richard McIver (E)	\$400
Mark Sidran (E)	\$400
Jane Noland	\$400
Aaron Ostrom	\$400
Richard Conlin (E)	\$350
Paul Schell (E)	\$400
Greg Nickels	\$400
Total	\$3,125

WA State Cncl of County & City Employees	
Jan Drago (E/I)	\$400
Greg Nickels	\$400
Richard Conlin (E)	\$400
Thomas Goldstein	\$400
Aaron Ostrom	\$400
Richard McIver (E)	\$400
Paul Schell (E)	\$400
Total	\$2,800

Margaret Pageler	
Seattle City Council	
Richard McIver (E)	\$400
Jan Drago (E/I)	\$200
Richard Conlin (E)	\$400
Greg Nickels	\$100

PTSGE Preston Gates & Ellis PAC		
Mark Sidran (E)	\$400	
Greg Nickels	\$330	
Sherry Harris	\$400	
Jane Noland	\$200	
Paul Schell (E)	\$400	

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Total	\$2,800
Jane Noland	\$400
Paul Schell (E)	\$400
Peter Steinbrueck (E)	\$400
Nick Licata (E)	\$300
Cheryl Chow	\$200

Total	\$2,730
Aaron Ostrom	\$400
Richard McIver (E)	\$200
Richard Conlin (E)	\$400

Intl Fed of Prof & Tech Eng Local 17 PAC	
Jan Drago (E/I)	\$400
Nick Licata (E)	\$400
Aaron Ostrom	\$375
Richard McIver (E)	\$375
Richard Conlin (E)	\$350
Paul Schell (E)	\$375
Peter Steinbrueck (E)	\$400
Total	\$2,675

Herman Sarkowsky	
Self Employed / Sarkowsky Investment	t Corp
Greg Nickels	\$400
Jan Drago (E/I)	\$400
Paul Schell (E)	\$400
Thomas Goldstein	\$400
Richard Conlin (E)	\$400
Richard McIver (E)	\$400
Aaron Ostrom	\$200
Total	\$2,600

Gogerty Stark Marriott Inc	
Jan Drago (E/I)	\$250
Greg Nickels	\$400
Richard McIver (E)	\$400
Paul Schell (E)	\$400
Jane Noland	\$150
Cheryl Chow	\$400
Peter Steinbrueck (E)	\$100
Richard Conlin (E)	\$400
Total	\$2,500

The Rockey Company Inc	
Jan Drago (E/I)	\$400
Mark Sidran (E)	\$200
Richard McIver (E)	\$400
Bruce Bentley	\$300
Aaron Ostrom	\$400
Paul Schell (E)	\$400
Richard Conlin (E)	\$400
Total	\$2,500

Martha Jane Kongsgaard	
Self Employed / Environ Law Supp Assoc/	
Kongsgaard-Goldman Foundation	
Thomas Goldstein	\$300
Aaron Ostrom	\$400
Mark Sidran (E)	\$120
Richard Conlin (E)	\$400
Richard McIver (E)	\$400
Greg Nickels	\$400
Paul Schell (E)	\$400
Total	\$2,420

B Gerald Johnson	
Preston Gates & Ellis	
Jan Drago (E/I)	\$400
Sherry Harris	\$375
Peter Steinbrueck (E)	\$400
Nick Licata (E)	\$200
Paul Schell (E)	\$400
Richard McIver (E)	\$250
Richard Conlin (E)	\$388
Total	\$2,413

The Boeing Company	
Jan Drago (E/I)	\$400
Mark Sidran (E)	\$400
Richard Conlin (E)	\$400
Richard McIver (E)	\$400
Aaron Ostrom	\$400
Thomas Goldstein	\$400
Total	\$2,400

Matthew J Griffin	
Pine Street Associates	
Jan Drago (E/I)	\$400
Sherry Harris	\$400
Richard McIver (E)	\$400
Greg Nickels	\$400
Paul Schell (E)	\$400
Thomas Goldstein	\$400
Total	\$2,400

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Christopher R Larson	
Microsoft Corporation	
Greg Nickels	\$400
Jane Noland	\$400
Richard McIver (E)	\$400
Jan Drago (E/I)	\$400
Aaron Ostrom	\$400
Cheryl Chow	\$400
Total	\$2,400

Nitze-Stagen & Company Inc	
Mark Sidran (E)	\$300
Richard McIver (E)	\$400
Greg Nickels	\$100
Aaron Ostrom	\$800
Thomas Goldstein	\$200
Richard Conlin (E)	\$100
Paul Schell (E)	\$400
Total	\$2,300

Puget Sound Energy	
Mark Sidran (E)	\$300
Jan Drago (E/I)	\$400
Richard Conlin (E)	\$400
Bruce Bentley	\$200
Thomas Goldstein	\$200
Aaron Ostrom	\$400
Richard McIver (E)	\$400
Total	\$2,300

WMNA Waste Management Inc	
Greg Nickels	\$400
Jane Noland	\$400
Richard Conlin (E)	\$300
Jan Drago (E/I)	\$200
Peter Steinbrueck (E)	\$300
Nick Licata (E)	\$300
Paul Schell (E)	\$400
Total	\$2,300

Top 16 Contributors to all Ballot Issues

The following is a list of the top 16 contributors to all ballot issue committees on the ballot in 1997. There were numerous contributors of \$5,000, just below the cutoff. Therefore we only presented the top 16, not 20.

The Boeing Company	
Families Yes	\$25,000
Citizens for Seattle Streets	\$25,000
Total	\$50,000

Football Northwest Mgmt, Inc.	
Families Yes	\$25,000
Citizens for Seattle Streets	\$10,000
Total	\$35,000

BankAmerica Corporation Campaign Fund	
Families Yes	\$15,000
Citizens for Seattle Streets	\$10,000
Total	\$25,000

Puget Sound Energy	
Families Yes	\$5,000
Citizens for Seattle Streets	\$20,000
Total	\$25,000

Washington Mutual Bank	
Families Yes	\$15,000
Citizens for Seattle Streets	\$4,000
Total	\$19,000

Price Costco	
Families Yes	\$2,000
Citizens for Seattle Streets	\$10,000
Total	\$12,000

US West Communications	
Families Yes	\$7,500
Citizens for Seattle Streets	\$4,000

Group Health		
Familie	es Yes	\$10,000

\$10,000	Total	\$11,500	Total	
	Safeco		Microsoft	
\$10,000	Families Yes	\$10,000	Families Yes	
\$10,000	Total	\$10,000	Total	
	AT&T Wireless Services		Starbucks Coffee Company	
\$4,200	Families Yes	\$5,000	Families Yes	
\$4,500	Citizens for Seattle Streets	\$5,000	Citizens for Seattle Streets	
\$8,700	Total	\$10,000	Total	
	Ken Alhadeff	erce	Greater Seattle Chamber of Commerce	
\$7,500	Families Yes	\$7,635	No on Initiative 41	
\$7,500	Total	\$7,635	Total	
	Michael Alhadeff	a l	Stevedoring Services of Americ	
			Citizens for Seattle Streets	
\$5,125	Families Yes	\$7,500		

List of Contributors

The list of contributors is not available in the web version of this report. These lists are available elsewhere on the Commission web site at:

www2.ci.seattle.wa.us/ethics/searchlist/lists.asp

and:

www2.ci.seattle.wa.us/ethics/searchlist/searchlist.asp